Child Care and Development Fund (CCDF) Plan
For

Ohio
FFY 2012-2013

PART 1 ADMINISTRATION

1.1 Contact Information

The agency shown below has been designated by the Chief Executive Officer of the State (or Territory), to represent the State (or Territory) as the Lead Agency. The Lead Agency agrees to administer the program in accordance with applicable Federal laws and regulations and the provisions of this Plan, including the assurances and certifications appended hereto.(658D, 658E)

1.1.1 Who is the Lead Agency designated to administer the CCDF program? Identify the Lead Agency and Lead Agency''s Chief Executive Officer designated by the State/Territory. ACF will send official grant correspondence such as grant awards, grant adjustments, Plan approvals and disallowance notifications to the designated contact identified here. (658D(a), §98.10)

Name of Lead Agency: Ohio Department of Job and Family Services

Address of Lead Agency: 30 E. Broad Street Columbus, Ohio 43215

Name and Title of the Lead Agency's Chief Executive Officer: Michael B. Colbert, Director

Phone Number: 614-466-6283

Fax Number: 614-995-5004

E-Mail Address: Michael.Colbert@jfs.ohio.gov

Web Address for Lead Agency (if any): http://jfs.ohio.gov/

1.1.2 Who is the CCDF administrator? Identify the CCDF administrator designated by the Lead Agency, the day-to-day contact, with responsibility for administering the State/Territory's CCDF program. ACF will send programmatic communications such as program announcements, program instructions, and data collection instructions to the designated contact identified here. **If there is more than one designated contact with equal or shared responsibility for administering the CCDF program, please identify the co-administrator or entity with administrative responsibilities and include contact information.** (§§98.16(a) and (c)(1))

  a) Contact Information for CCDF Administrator:

Name of CCDF Administrator: Terrie Hare

Title of CCDF Administrator: Bureau Chief

Address of CCDF Administrator: 50 W. Town Street, P.O. Box 182709 , Columbus, OH 43218-2709

Phone Number: 614-752-0580

Fax Number: 614-728-6803

E-Mail Address: Terrie.Hare@jfs.ohio.gov

Web Address for Lead Agency (if any): http://jfs.ohio.gov/cdc/childcare.stm

Phone Number for CCDF program information
(for the public) (if any): 1-866-886-3537 option 4 for child care

Web Address for CCDF program
(for the public) (if any): http://jfs.ohio.gov/cdc/childcare.stm

Web Address for CCDF program policy manual
(if any): http://jfs.ohio.gov/cdc/rules_forms.stm

Web Address for CCDF program administrative rules
(if any): http://jfs.ohio.gov/cdc/rules_forms.stm

b) Contact Information for CCDF Co-Administrator (if applicable):

Name of CCDF Co-Administrator: N/A

Title of CCDF Co-Administrator:

Address of CCDF Co-Administrator:

Phone Number:

Fax Number:

E-Mail Address:
Description of the role of the Co-Administrator:

N/A

1.2 Estimated Funding

1.2.1 What is your expected level of funding for the first year of the FY 2012 - FY 2013 plan period?

The Lead Agency estimates that the following amounts will be available for child care services and related activities during the 1-year period from October 1, 2011 through September 30, 2012. (§98.13(a)).

FY 2012 Federal CCDF allocation (Discretionary, Mandatory and Matching): $ 205,937,302

Federal TANF Transfer to CCDF: $ 0

Direct Federal TANF Spending on Child Care: $ 193,060,595

State CCDF Maintenance-of-Effort Funds: $ 45,403,943

State Matching Funds: $ 35,205,589

 Reminder - Lead Agencies are reminded that not more than 5 percent of the aggregate CCDF funds, including federal funds and required State Matching funds, shall be expended on administration costs (§98.52) once all FY2012 funds have been liquidated. State Maintenance-of-Effort funds are not subject to this limitation.

1.2.2 Which of the following funds does the Lead Agency intend to use to meet the CCDF Matching and maintenance-of-effort (MOE) requirements described in 98.53(e) and 98.53(h)? Check all that apply.

Territories not required to meet CCDF Matching and MOE requirements should mark ☐ N/A here

Note: The Lead Agency must check at least public and/or private funds as matching, even if pre-kindergarten (pre-k) funds also will be used.

☑ Public funds to meet the CCDF Matching Fund requirement. Public funds may include any general revenue funds, county or other local public funds, State/Territory-specific funds (tobacco tax, lottery), or any other public funds.
If checked, identify source of funds:

Only Public Funds will be used to meet the state maintenance of effort and matching requirements. The source of funds are general revenue funds of the state.

If known, identify the estimated amount of public funds the Lead Agency will receive:
☐ Private Donated Funds to meet the CCDF Matching Fund requirement. Only private received by the designated entities or by the Lead Agency may be counted for match purposes. (98.53(f))

If checked, are those funds:
☐ donated directly to the State?
☐ donated to a separate entity(ies) designated to receive private donated funds?
If checked, identify the number of entities designated to receive private donated funds and provide name, address, contact and type:

If known, identify the estimated amount of private donated funds the Lead Agency will receive:
☐ State expenditures for Pre-K programs to meet the CCDF Matching Funds requirement.
If checked, provide the estimated percentage of Matching Fund requirement that will be met with pre-k expenditures (not to exceed 30%):
If percentage is more than 10% of the Matching fund requirement, describe how the State will coordinate its pre-k and child care services:

If known, identify the estimated amount of pre-k funds the Lead Agency will receive for Matching Funds requirement:
Describe the Lead Agency efforts to ensure that pre-k programs meet the needs of working parents:

☐ State expenditures for Pre-K programs to meet the CCDF Maintenance of Effort (MOE) requirements.

If checked,
☐ The Lead Agency assures that its level of effort in full-day/full-year child care services has not been reduced, pursuant to 98.53(h)(1).
Estimated percentage of MOE Fund requirement that will be met with pre-k expenditures (not to exceed 20%):
If percentage is more than 10% of the MOE fund requirement, describe how the State will coordinate its pre-k and child care services to expand the availability of child care:

If known, identify the estimated amount of pre-k funds the Lead Agency will receive for MOE Fund requirement:
 Describe the Lead Agency efforts to ensure that pre-k programs meet the needs of working parents:

1.2.3 Describe the activities for which quality funds (including targeted quality funds for infants and toddlers, school-age children, and resource and referral) will be used in FY 2012. In as much detail possible, list the activities that will be funded, the estimated amount of CCDF quality funds that will be used for each activity, and how these activities relate to the Lead Agency's overall goal of improving the quality of child care for low-income children.

Activity	Estimated Amount of CCDF Quality Funds (indicate if targeted funds will be used)	Purpose	Projected Impact and Anticipated Results

1)Child Care Licensing and Step Up To Quality Staff 2) Licensing Software Maintenance 3) Ohio Educational Television Stations 4) Quality Achievement Awards (QAAs) 5) Research/Evaluation 6) Ohio Child Care Resource and Referral Association 7) Child Care Resource & Referral System	1)$8,101,445.00 Quality 2)$110,000.00 Quality 3)$305,800.00 Quality 4)$8,000,000.00 Quality 5)$150,000.00 Quality 6)$2,197,200.00 Resource & Referral Infant & Toddlers School-Age 7)$8,600,000.00 Resource & Referral Infant & Toddlers School-Age	1) Complete on site, regular licensing inspections. Complete on-site, annual quality benchmarks verification visits. 2) Complete changes, improvements to the web-based licensing software. 3) The public television stations provide professional development to Early Care and Education professionals utilizing existing Ohio documents & standards. Continual professional development is required as one component of SUTQ providers. 4) Earned monetary awards offset the cost of providing higher quality care. 5) ODJFS contracts with Ohio State University to regularly evaluate specified areas of SUTQ which inform improvement to policy and procedure. 6) OCCRRA provides on-going coordination of quality activities which include: TEACH, Professional Development Registry, Technical Assistance & Administers QAAs. 7) Support local CCR&Rs, in all 88 counties, with funding to provide resource and referral services for parents as well as training and technical assistance for providers. The 8 CCR&R service delivery areas provide specific technical assistance to SUTQ providers.	1) To monitor the health & safety of licensed facilities. To verify quality standards of licensed facilities. 2) To provide information on licensing compliance to parents and the public. Compliance data is used to assist in the rule writing process. 3) Continual professional development increases provider ability to participate in SUTQ. Higher rating levels allow for an increase in funding that supports higher quality care for children. 4) Increased funding allows SUTQ centers to provide higher quality experiences for children by offsetting costs. SUTQ center awards are based on verification of center quality as well as number of children receiving public funding. 5) Focused attention on improving specific portions of SUTQ. Higher quality care, consistency in measuring quality standards, and expanding the number of centers participating are informed by this research. 6) Assist teachers and administrators with obtaining a credential or degree in Early Childhood Education through TEACH scholarships; track the professional history, credentials, and training of teachers through the Professional Development Registry; providers search for trainings across topic and content level through the Professional Development Registry; Technical Assistance Coordination across the Infant and Toddler, School Age, and SUTQ networks; Administers the QAAs (described in question 4). Coordinated

| | | | efforts allow professional development opportunities for providers to be more accessible. Participants will be able to provide higher quality experiences for children.

7) To provide parents with critical information on selecting an early learning setting for their children. In addition, CCR&Rs provide mentoring, coaching, and technical assistance to assist programs with improving their quality (particularly related to the early learning environment for infants-school aged children). CCR&Rs also provide the on-going professional development that is required for participating in SUTQ. |
|---|---|---|---|

1.2.4 Will the Lead Agency distribute quality funds to counties or local entities?

☐ No, the Lead Agency will manage all quality funds directly

☑ Yes, the Lead Agency will manage some quality funds directly and distribute a portion to local entities. Estimated amount or percentage to be distributed to localities

70% to local entites.

☐ Yes, all quality funds will be distributed to local entities

☐ Other.
Describe:

1.3 CCDF Program Integrity and Accountability

Program integrity is defined to include efforts that ensure effective internal controls over the administration of CCDF funds. The Lead Agency is responsible for monitoring programs and services, ensuring compliance with the rules of the program, promulgating rules and regulations to govern the overall administration of the plan and oversee the expenditure of funds by sub-grantees and contractors. (§ 98.11(b)) Accountability measures should address administrative error, which includes unintentional agency error, **as well as address** program violations, both unintentional and intentional, that may or may not result in further action by the Lead Agency, including those cases suspected of and/or prosecuted for fraud.

1.3.1. Describe the strategies the Lead Agency will utilize to ensure effective internal controls are in place. The **description** of internal controls may include, but is not limited to a description of processes to ensure sound fiscal management, to identify areas of risk or to establish regular evaluation of control activities.
Describe:

Ohio has developed a state-wide Eligibility and Authorization system that is designed to take inputted information and determine eligibility and create authorizations. It is designed with parameters and controls that align with both federal and State requirements for the Publicly Funded Child Care program. All 88 counties will be utilizing this system by the end of the 2011 calendar year.

1.3.2. Describe the processes the Lead Agency will use to monitor all sub-recipients. Lead Agencies that use other governmental or non-governmental sub-recipients to administer the program must have written agreements in place outlining roles and responsibilities for meeting CCDF requirements. (98.11 (a) (3))

Definition: A sub-recipient (including a sub-contractor and or sub-grantee) is a non-Federal entity that expends Federal awards (contract or grant) received from another entity to carry out a Federal program, but does not include a vendor nor does it include an individual who is a beneficiary of such a program. OMB Circular A-133 Section 210 provides additional information on the characteristics of a **sub-recipient and vendor** (http://www.whitehouse.gov/omb/circulars/a133_compliance_supplement_2010). The description of monitoring may include, but is not limited to, a discussion of written agreements, fiscal management, review of policies and procedures to ensure compliance with CCDF regulations, monitoring/auditing contractors or grantees to ensure that eligible children are served and eligibility documentation is verified, and establishing performance indicators or measures related to improper payments.
Describe:

Ohio is a state-supervised, county-administered state. Ohio Revised Code (ORC) 329.04 specifies that the County Department of Job and Family Services (CDJFS) shall have, exercise, and perform any duties assigned by the Ohio Department of Job and Family Services (ODJFS) regarding the provision of publicly funded social services to prevent or reduce economic or personal dependency and to strengthen family life. Such public social services include child care services. This responsibility is controlled through the ORC and is clarified and/or amplified by rules promulgated and reflected in the Ohio Administrative Code (OAC). OAC rules, combined with regular reporting and monitoring of practice and expenditures, provides the lead agency with adequate control mechanisms, including adherence to Generally Accepted Accounting Principles (GAAP) to insure adherence to 658D(b)(1)(A), 98.11. OAC rules provide specific direction on implementation and reimbursement for all publicly-funded child care programs.

The CDJFS are responsible for the provision of subsidized child care services including: eligibility determination; family child care provider certification and uploading invoicing information to ODJFS for payment. The CDJFS may contract with child care providers or child care resource and referral agencies to conduct all or part of the eligibility determination, child care home provider certification processes and assisting families with finding child care services.

Procedures, rules and forms regarding the appeal process for child care services applicants are contained in Chapter 5101:6 of the OAC.

In addition to the promulgation of OAC rules, ODJFS is responsible for fiscal and program monitoring of CDJFS implementation of all publicly funded programs. ODJFS administers an annual review of subsidized child care cases. The size and method of the review mimics the Federal Error Rate Review as defined in Title 45 of the Code of Federal Regulations.

Reviewers use a customized Record Review Worksheet to record analysis of the case record and findings. The documentation provides the verification that substantiates the eligibility determination and the authorization for payment amount for the sample review month. Regarding eligibility status, reviewers examine the most recent eligibility determination in effect as of the sample review month, either the initial determination or a redetermination, and any subsequently reported changes (e.g., income or status) that might impact eligibility.

Reviewers identify and examine the documentation within the case records that was used to determine eligibility for a sample review month and calculate a subsidy amount authorized for that month. The documentation is a permanent portion of the case records (copies of pay stubs, school schedules, birth certificates etc.) or information specific to the eligibility period which covers the sample month (policy in effect for that month). The review also includes access or inquiry of any relevant screens or files within Ohio's automated system, as appropriate and necessary. The review does not include independent verification of eligibility and data elements found in the case record.

1.3.3. Describe the activities the Lead Agency will have in place to identify program violations and administrative error to ensure program integrity using the chart below. Program violations may include intentional and unintentional client and/or provider violations as defined by the Lead Agency. Administrative error refers to **areas identified through the Error Rate Review** process (98.100). Check which activities, if any, the Lead Agency has chosen to conduct.

Type of Activity	Identify Program Violations	Identify Administrative Error
Share/match data from other programs (e.g. TANF, Child and Adult Care Food Program (CACFP), Food and Nutrition Service (FNS), Medicaid))	☐	☑
Share/match data from other databases (e.g., State Directory of New Hires, Social Security Administration, Public Assistance Reporting Information System (PARIS))	☑	☐
Run system reports that flag errors (include types)	☐	☑
Review of attendance or billing records	☐	☐
Audit provider records	☐	☐
Conduct quality control or quality assurance reviews	☐	☑

Conduct on-site visits to providers or sub-recipients to review attendance or enrollment documents	☐	☐
Conduct supervisory staff reviews	☐	☐
Conduct data mining to identify trends	☐	☐
Train staff on policy and/or audits	☑	☑
Other. Describe Ohio is currently designing monitoring functions with a new state-wide system. Final monitoring procedures may include all the above functions for both identifying program violations and identifying administrative error.	☑	☑
None	☐	☐

For any option the Lead Agency checked in the chart above other than none, please describe:

The department will use other food assistance, cash assistance and child support data systems during the annual error rate reviews. The department has designed regular run reports to show irregularities to identify administrative errors. The department conducts an annual review of cases that mimics the Federal Error Rate reviews. The department provides technical assistance on program policy/rules.The department runs a process to verify the accuracy of Social Security Numbers with the Social Security Administration.

If the Lead Agency checked none, please describe what measures the Lead Agency has or plans to put in place to address program integrity:

N/A

1.3.4. What strategies will the Lead Agency use to investigate and collect improper payments due to program violations or administrative error?   Check and describe in the chart below which strategies, if any, the Lead Agency will use for each of the following areas: Unintentional program violations (UPV), intentional program violations (IPV) and/or fraud, and administrative error as defined in your State/Territory. **The Lead Agency has the flexibility to recover misspent funds as a result of errors. The Lead Agency is required to recover misspent funds as a result of fraud (98.60(i)).**

Strategy	UPV	IPV and/or Fraud	Administrative Error

Require recovery after a minimum dollar amount in improper payment. Identify the minimum dollar amount: $	☐	☐	☐
Coordinate with and refer to other State/Territory agency (e.g. State/Territory collection agency, law enforcement). Describe: The department may refer to law enforcement or other local government agencies to recover erroneous payments.	☑	☑	☑
Recover through repayment plans	☑	☑	☑
Reduce payments in the subsequent months	☑	☑	☑
Recover through State/Territory tax intercepts	☐	☐	☐
Recover through other means. Describe:	☐	☐	☐
Establish a unit to investigate and collect improper payments. Describe composition of unit: Each County Department of Job and Family Services is required to have a fraud plan which involves recovery of overpayment. The Bureau of Program Integrity (also within ODJFS) assists with the investigation of erroneous payments	☐	☑	☑
Other. Describe:	☐	☐	☐
None	☐	☐	☐

For any option the Lead Agency checked in the chart above other than none, please describe:

Ohio counties have the authority to recover overpayments from providers or caretakers by either collecting the overpayment amount in one lump sum or to enter into a repayment agreement. Either the county or the State may recover money from providers by offsetting future payments.

Ohio counties are required by Administrative Code to maintain a fraud plan to investigate and recover identified overpayments. The Bureau of Child Care and Development may work with the Bureau of Program Integrity, the Attorney General's Office, county department of job and family services that extends to local law enforcement to investigate and complete and alleged fraud.

1.3.5. What type of sanction, if any, will the Lead Agency place on clients and providers to help reduce improper payments due to program violations?

☐ None
☑ Disqualify client.
 If checked, please describe, including a description of the appeal process for clients who are disqualified

Clients may receive a county conference, state hearing, or civil action. The county conference is a local procedure that county may or may not allow. It is an intermediate step to a "right to state hearing". A Right to State Hearing is offered to a caretaker for any negative action to their case. The caretaker has 15 days to make the request to the state. If they make the request the negative action would be delayed until the State Hearing process is exhausted. If the State hearing Officer upholds the eligibility determiner's decision, the caretaker would be responsible to pay back any cost to benefit they were not entitled to. The caretaker may request and Administrative Appeal to the State Hearing. The Hearing decision will be reviewed by a panel to determine if the decision was not accurate.

☑ Disqualify provider.
If checked, please describe, including a description of the appeal process for providers who are disqualified

 A provider is offered a right to a county appeal upon notification of proposed revocation. The revocation will not take place until the Appeal Hearing has been exhausted (unless exigent circumstances exist with an immediate risk to the health or safety of a child). The appeal requested needs to be made within ten days of notice of proposed revocation. The appeal hearing officer is appointed by the Director of the county department of job land family services and must be independent from any prior decision making in the case. If the appeal hearing upholds the decision to revoke, the provider has a right to take civil action.

☑ Prosecute criminally
☐ Other.

Describe.

1.3.6 Based on responses provided from Question 14 in the most recent ACF-402 report, please describe those actions the Lead Agency has taken or plans to take to reduce identified errors in the table below. Territories not required to complete the Error Rate Review should mark

Activities identified in ACF-402	Cause/Type of Error (if known)	Actions Taken or Planned	Completion Date (Actual or planned) (if known)

The Bureau of Child Care and Development (BCCD) will conduct consultation reviews with individual lead agencies either by site visits or teleconferences. Currently, BCCD is developing a statewide automated eligibility system. This system is expected to produce standardization across the state for the eligibility determination process. The system will incorporate functions to automatically calculate income and co-payment information based on the data entered by the determining agency. Specific areas that will system will have controls over are Child Care Agreements, Certificates, eligible work activities, state residency, working and school status, qualifying care, provider requirements, countable income and income eligibility, and authorized payment amount. BCCD will utilize the data collected from the case reviews conducted for the federal error rate project to examine Ohio Administrative Code language that governs subsidized child care eligibility and authorization.	Covers all errors identified from the Review.	The department has chosen to administer the Federal Error Rate Review each year to assist with tracking progress and the reduction of errors. The department's statewide automated eligibility system has been completed and is currently used in 83 of it 88 counties. The remaining five counties will be using the system by fall of 2011. The department has modified some of the Ohio Administrative Code language to assist in the facilitation of accurate authorization for subsidized child care.	Completion date for Review: September of each year. Completion date for all counties being on E/A system: October 2011. Completion date for rule changes: May 2011.

1.4 Consultation in the Development of the CCDF Plan

Lead Agencies are required to *consult* with appropriate agencies in the development of its CCDF Plan (§98.12, §98.14(a),(b), §98.16(d)).

Definition: *Consultation* involves the meeting with or otherwise obtaining input from an appropriate agency in the development of the State or Territory CCDF Plan. At a minimum, Lead Agencies must consult with representatives of general purpose local governments. (§§98.12(b), 98.14(a)(1))

1.4.1 Identify and describe in the table below who the Lead Agency consulted with in the development of the CCDF Plan (658D(b)(2), §§98.12(b), 98.14(b)).

Agency/Entity	Describe how the Lead Agency consulted with this Agency/entity in developing the CCDF Plan
☑ **Representatives of general purpose local government (required)** This may include, but is not limited to: representatives from counties and municipalities, local human service agencies, local education representatives (e.g., school districts), or local public health agencies.	Consulted with the Job and Family Services Director's Association (JFSDA) Child Care Subcommittee. JFSDA represents the county departments of Job and Family Services. Bureau Staff attended the JFSDA annual conference to share state plan and gather for feedback. The subcommittee also provides ongoing feedback throughout the year. Several of the counties also have representation on the Child Care Advisory Council.
For the remaining agencies, check and describe (optional) any which the Lead Agency has chosen to consult with in the development of its CCDF Plan.	
☑ State/Territory agency responsible for public education This may include, but is not limited to, State/Territory pre-kindergarten programs (if applicable), programs serving school-age children (including 21st Century Community Learning Centers), or higher education.	The Ohio Department of Education assisted in completing several sections of the plan and was given the entire plan to review for comment. ODE also has representation on the Child Care Advisory Committee and provides ongoing feedback throughout the year.
☑ State/Territory agency responsible for programs for children with special needs This may include, but is not limited to: State/Territory early intervention programs authorized under the Individuals with Disabilities Education Act (Part C for infants and toddlers and Section 619 for preschool), or other State/Territory agencies that support children with special needs	The Ohio Department of Education and the Ohio Department of Health assisted in completing several sections of the plan and were given the entire plan to review for comment. The departments' representatives on the Child Care Advisory Committee also provide ongoing feedback throughout the year.
☑ State/Territory agency responsible for licensing (if separate from the Lead Agency)	ODJFS licenses the majority of child care programs although a small number are licensed by the Ohio Department of Education (ODE). ODE assisted in completing several sections of the plan and were given the entire plan to review for comment.
☑ State/Territory agency with the Head Start Collaboration grant	Consulted with the Head Start Collaboration Director and attended the Head Start Association quarterly meeting where the state plan was reviewed. The Head Start Collaboration Director is also on the Child Care Advisory Committee and provides ongoing feedback throughout the year.

☑ Statewide Advisory Council authorized by the Head Start Act	Consulted the Early Childhood Advisory Council on the development of the state plan. Bureau staff presented the state plan at a Early Care and Education subcommittee meeting, In addition, the ECAC coordinator also supervises the child care program.
☐ Other Federal, State, local, Tribal (if applicable), and/or private agencies providing early childhood and school-age/youth-serving developmental services	
☐ State/Territory agency responsible for the Child and Adult Care Food Program (CACFP)	
☑ State/Territory agency responsible for implementing the Maternal and Early Childhood Home Visitation programs grant	The Ohio Department of Health assisted in completing several sections of the plan and was given the entire plan to review for comment. ODH and the Ohio Department of Mental Health also provide ongoing feedback via the Child Care Advisory Council.
☑ State/Territory agency responsible for public health (including the agency responsible for immunizations and programs that promote children's emotional and mental health)	The Ohio Department of Health assisted in completing several sections of the plan and were given the entire plan to review for comment.
☑ State/Territory agency responsible for child welfare	Consulted with Children's Trust Fund, as well as the Bureau of Protective Services. Both are within the department.
☐ State/Territory liaison for military child care programs or other military child care representatives	
☑ State/Territory agency responsible for employment services/workforce development	Consulted with the Bureau of Family Assistance Policy Initiatives, which is within the same office as the BCCD.
☑ State/Territory agency responsible for Temporary Assistance for Needy Families (TANF)	Consulted with the Bureau of Family Assistance Policy Initiatives, which is within the same office as the BCCD.

	Indian Tribes/Tribal Organizations	
☐		
☑	N/A: No such entities exist within the boundaries of the State	
☑	Private agencies/entities including national initiatives that the Lead Agency is participating in such as BUILD, Strengthening Families, Mott Statewide After-school Networks, Ready by 21	Consulted with the Ohio Afterschool Network (the lead agency for the Mott Network) and attended the quarterly meeting where the state plan was reviewed. Ohio consulted with the Early Childhood Advisory Council, which is the focal point for the support and resources that are available through the National Build Initiative.
☑	Provider groups, associations or labor organizations	Consulted with AFSCME, Ohio Council 8. AFSCME also participates in the Child Care Advisory Council and provides ongoing feedback throughout the year.
☐	Parent groups or organizations	
☑	Local community organizations (child care resource and referral, Red Cross)	Consulted with the Ohio Child Care Resource and Referral Association (OCCRRA) and the local Child Care Resource and Referral Agencies (CCR&R) and shared the state plan at a quarterly meeting.
☑	Other	Consulted with the Ohio Child Care Advisory Council (CCAC) and shared the state plan at the monthly meeting.

1.4.2. Describe the Statewide/Territory-wide public hearing process held to provide the public an opportunity to comment on the provision of child care services under this Plan. (658D(b)(1)(C), §§98.14(C)). At a minimum, the description should include:

a) Date(s) of notice of public hearing: 05/06/2011
 Reminder - Must be at least 20 days prior to the date of the public hearing.

b) How was the public notified about the public hearing? Posted on the Ohio Department of Job and Family Services website, RSS Feed, and sent out to stakeholders listserves. c) Date(s) of public hearing(s): 05/26/2011
 Reminder - Must be no earlier than 9 months before effective date of Plan (October 1, 2011).

d) Hearing site(s) 50 W. Town Street, Columbus OH 43215
e) How was the content of the Plan made available to the public in advance of the public hearing(s)? Posted on the BCCD website and sent out an announcement via an RSS feed.
f) How will the information provided by the public be taken into consideration in the provision of child care services under this Plan? The public was also able to submit written

1.4.3. Describe any strategies used by the Lead Agency to increase public consultation on the Plan or access to the public hearing. For example, translating the public hearing notice into multiple languages, using a variety of sites or technology (e.g., video) for the public hearing, holding the hearing at times to accommodate parent and provider work schedules.

1.4.3. Describe:

The plan was widely distributed prior to the public hearing – website, RSS Feed and various listserves. Stakeholders had the opportunity to submit comments in writing. If a request had been made to hold multiple public hearings, ODJFS would have accommodated this with the schedule. Additionally, bureau staff gave presentations on the draft state plan at a number of meetings throughout the state.

1.5. Coordination Activities to Support the Implementation of CCDF Services

Lead Agencies are required to *coordinate* with other Federal, State, local, Tribal (if applicable) and private agencies providing child care and early childhood development services

Definition - *Coordination* involves child care and early childhood and school-age development services efforts to work across multiple entities, both public and private (such as in connection with a State Early Childhood Comprehensive System (SECCS) grant or the State Advisory Council funded under the Head Start Act of 2007). (658D(b)(1)(D), §§98.12(a), 98.14(a)(1))

1.5.1. Identify and describe in the table below with whom the Lead Agency coordinates in the delivery of child care and early childhood and school-age services (§98.14(a)(1)).

Agency/Entity (check all that apply)	Describe how the Lead Agency will coordinate with this Agency/entity in delivering child care and early childhood services	Describe the goals or results you are expecting from the coordination Examples might include increased supply of full-day/full-year services, aligned eligibility policies, blended funding, or access to more training and technical assistance resources shared across agencies.

☑	Representatives of general purpose local government **(required)** This may include, but is not limited to: representatives from counties and municipalities, local human service agencies, local education representatives (e.g., school districts), or local public health agencies.	ODJFS will coordinate with the Job and Family Services Director's Association (JFSDA) Child Care Subcommittee. The JFSDA Child Care Subcommittee is composed of representatives from the CDJFS, and consists of a cross section of program, fiscal, and administrative staff. The purpose of the child care subcommittee is to discuss child care program issues that impact child care policy decisions at the local level.	Utilize partnership for increased efficiency of the subsidized child care program, consistent interpretation of policy and increased compliance in all 88 counties.
☑	State/Territory agency responsible for public education **(required)** This may include, but is not limited to, State/Territory pre-kindergarten programs (if applicable), programs serving school-age children (including 21st Century Community Learning Centers), or higher education.	ODJFS will coordinate with the Ohio Department of Education (ODE) on both public preschool and 21st Century programming on professional development and provide input on Race to the Top and State Longitudinal Data Systems grants.	ODE and ODJFS will have increased alignment of professional development opportunities; assist local school districts with Family & Community Engagement at the 21st Century Community Learning Centers; provide input into the kindergarten readiness screening expansion; and contribute to the statewide data system integration plan.

☑	Other Federal, State, local, Tribal (if applicable), and/or private agencies providing early childhood and school-age/youth-serving developmental services **(required)**	ODJFS will coordinate with Ohio Department of Education and Ohio Department of Health (ODH) as well as Ohio Afterschool Network.	The state agencies will continue to align policies and programs to better serve children.
☑	State/Territory agency responsible for public health **(required)** This may include, but is not limited to, the agency responsible for immunizations and programs that promote children's emotional and mental health	ODJFS will coordinate with the Ohio Department of Health (ODH) on the State Early Childhood Comprehensive System Grant (ECCS), immunizations, and revisions to health and safety provider curriculums as well as input into licensing regulations. Coordinate with Ohio Department of Mental Health (ODMH) for the provision of Mental Health Consultation services to early care and education providers.	ODH and ODJFS will create a joint emergency preparedness plan for child care programs and continue to work on policies that improve the health and safety of out-of-home settings for children. ODMH and ODJFS will work together to increase knowledge, awareness, resources, and skills necessary for communities to meet the behavioral health needs of young children and their families.
☑	State/Territory agency responsible for employment services / workforce development **(required)**	BCCD will coordinate with the Bureau of Family Assistance Policy Initiatives within the same office as BCCD.	Continue to align policies as possible.

☑	State/Territory agency responsible for providing Temporary Assistance for Needy Families (TANF) **(required)**	BCCD will coordinate with the Bureau of Family Assistance Policy Initiatives within the same office as BCCD.	Continue to align eligibility and application policies as possible.
☐	Indian Tribes/Tribal Organizations (required) ☑ N/A: No such entities exist within the boundaries of the State		

For the remaining agencies, check and describe (optional) any with which the Lead Agency has chosen to coordinate early childhood and school-age service delivery

☑	State/Territory agency responsible for licensing (if separate from the Lead Agency)	ODJFS will coordinate with the Ohio Department of Education (ODE) (who licenses a small portion of child care programs in Ohio) on licensing standards and especially those programs that have dual licenses.	Continued alignment of licensing regulations between the two departments.
☑	State/Territory agency with the Head Start Collaboration grant	ODJFS will coordinate with the Head Start Collaboration Office and work with the Head Start Association and local providers on professional development, licensing standards, subsidy issues, and Step Up To Quality.	Greater alignment with the Head Start community; greater opportunities for participation in Step Up to Quality; and greater opportunities for joint training and professional development.
☑	Statewide Advisory Council authorized by the Head Start Act	ODJFS will coordinate with the Early Childhood Advisory Council, specifically staff will serve on the following workgroups: Early Care & Education Family Support Comprehensive Health Special Needs/Early Intervention Communications Needs Assessment Accountability- data systems.	State agencies and stakeholders will create systems that will ensure that all children will have assess to high quality early childhood experiences so that every child is socially, emotionally, physically, and intellectually prepared to use his or her capabilities to succeed.

☐	State/Territory agency responsible for the Child and Adult Care Food Program (CACFP)		
☑	State/Territory agency responsible for programs for children with special needs This may include, but is not limited to: State/Territory early intervention programs authorized under the Individuals with Disabilities Education Act (Part C for infants and toddlers and Section 619 for preschool), or other State/Territory agencies that support children with special needs	ODJFS will coordinate with ODE for children with disabilities (619) and Help Me Grow Council (Part C of IDEA - ODH) Ohio Department of Health. The coordination will address children with special needs that are identified as an infant, toddler or preschooler with a disability under IDEA.	Collaboration of child care and Help Me Grow programs will work cooperatively with parents and school districts to provide appropriate inclusionary settings for young children with disabilities in which positive child outcomes can be documented.
☑	State/Territory agency responsible for implementing the Maternal and Early Childhood Home Visitation programs grant	ODJFS will coordinate with the Ohio Department of Health (ODH) on the following: Maternal, Infant and Early Childhood Home Visiting grant (MIECHV), particularly utilizing the same developmental screening tool(s).	ODH and ODJFS will work to create better coordinated services for children and families who use child care and will receive home visiting services.

☑	State/Territory agency responsible for child welfare	ODJFS will coordinate with the Ohio Children's Trust Fund, as well as the Bureau of Protective Services (within same department as BCCD).	Promote awareness and prevention of child abuse, embed protective factors in child care and Step Up to Quality, and update curriculum on child abuse trainings for child care providers.
☐	State/Territory liaison for military child care programs or other military child care representatives		
☑	Private agencies/entities including national initiatives that the Lead Agency is participating in such as BUILD, Strengthening Families, Mott Statewide After-school Networks, Ready by 21	ODJFS will coordinate the Ohio Afterschool Network (OAN), ODE and other state agencies to advise on coordination and alignment of state investments in afterschool program and school-age child care delivery, regulation, quality, and workforce development. ODJFS staff serve as the lead contact for the Build resources and supports in Ohio. Child Care will coordinate with the Build Initiative and the Early Childhood Advisory Council (ECAC) to implement a comprehensive well integrated early childhood system.	OAN, ODH and ODJFS will work to create better coordinated funding streams, higher quality programming and professional development opportunities for providers. ODJFS will work with the National Build Initiative and the ECAC to improve: Early Care and Education and Afterschool services; Family Support; Special Needs and Early Intervention; and Comprehensive Health.
☑	Local community organizations (child care resource and referral, Red Cross)	ODJFS will coordinate with the Ohio Child Care Resource and Referral Association (OCCRRA) and the local Child Care Resource and Referral Agencies (CCR&R) on all statewide initiatives which include: T.E.A.C.H., First Steps, Ohio's Infant Toddler Initiative, Step Up To Quality, Out of School Time Project, and the Ohio Professional Development Network, taking the lead role in goal setting and implementing fidelity; coordinate with local early care and education and afterschool quality improvement initiatives that support statewide initiatives such as United Way, foundations, community organizations, and private agencies so there is alignment between goals at the state and local level.	Consistent implementation of statewide initiatives across the twelve service delivery areas and local early childhood initiatives.
☑	Provider groups, associations or labor organizations	ODJFS will coordinate with AFSCME, Ohio Council 8 on the collective bargaining agreement for family child care providers.	Continued increased communication with the group home providers licensed by ODJFS as well as the family child care providers certified by the County Departments of Job and Family Services, especially regarding the implementation of the automation of publicly funded child care payment.

☐	Parent groups or organizations		
☑	Other	ODJFS will coordinate with Child Care Advisory Council (CCAC) composed of 22 members appointed by the director of ODJFS with the approval of the governor. Members meet monthly and represent child care centers, home providers, parents/ guardians, advocacy agencies, CDJFS, and other child welfare professionals. The CCAC serves as the advisors to ODJFS planning and program activities and makes recommendations that support and inform child care policy.	Policy recommendations on availability, accessibility, quality, health & safety administration.

1.5.2. Does the State/Territory have a formal early childhood and/or school-age coordination plan?   Lead Agencies are not required to have an early childhood nor a school-age coordination plan, but the State/Territory may have such plans for other purposes, including fulfilling requirements of other programs.

☐ Yes. If yes,

a)
Provide the name of the entity responsible for the coordination plan(s):

b)
Describe the age groups addressed by the plan(s):

c)
Indicate whether this entity also operates as the State Advisory Council (as authorized under the Head Start Act of 2007):

☐   Yes

☐   No

d)
Provide a web address for the plan(s), if available:

☑ No

1.5.3. Does the State/Territory have a designated entity(ies) responsible for coordination across early childhood and school-age programs?   (658D(b)(1)(D), §98.14(a)(1)) Check which entity(ies), if any, the State/Territory has chosen to designate.

☐ State/Territory-wide early childhood and/or school-age cabinet/advisory council/task force/commission.

If yes, describe entity, age groups and the role of the Lead Agency

☑ State Advisory Council (as described under the Head Start Act of 2007).

If yes, describe entity, age groups and the role of the Lead Agency

Early Childhood Advisory Council (ECAC)
The Ohio Department of Job and Family Services is currently the lead agency coordinating the Governor's Early Childhood Advisory Council (ECAC) in partnership with the Ohio Head Start Collaboration Office. The ECAC focuses on children from birth to kindergarten entry and has been functional since August 2008. The strategies of the ECAC, which are aligned to the federal statute, are as follows:

- Strengthen the capacity of the Early Childhood Advisory Council (ECAC) to influence the: (1)policy direction of, and (2) funding support for, the early childhood system.
- Support the development of a new administrative structure and funding plan for the early childhood system.
- Increase the overall participation of children in existing and new federal, state, and local early childhood programs, including outreach to underrepresented and special populations.
- Support the development and implementation of high quality early childhood standards (including but not limited to, early learning, physical and mental health, social/emotional, and safety) that are inclusive of birth-entry into kindergarten, aligned to K-12 standards, and intentionally include all domains of child development
- Support establishment of a statewide, unified data collection and accountability system.
- Develop and implement an internal and external communications plan to facilitate the Council's ability to: (1) effectively inform stakeholders and families of ongoing progress, (2) gather community input helpful to achieving the Council's goals, and (3) share information at the community level.
- Further develop Ohio's statewide early childhood professional development system and career ladder.
  There are currently seven committees (Early Care & Education, Family Support Comprehensive Health, Special Needs/Early Intervention, Communications, Needs Assessment and Accountability) working on the above strategies and emerging opportunities as they are created. Over 150 individuals are actively engaged. Lead staff for the ECAC also oversees the work of the Bureau of Child Care and Development and the Child Care Information and Data System Unit, allowing for significant alignment between child care and the activities of the ECAC.

☑ Local Coordination/Council

If yes, describe entity, age groups and the role of the Lead Agency

Child Care Advisory Council (CCAC)
The CCAC is composed of twenty-two members appointed by the director of Ohio Department of Job and Family Services (ODJFS) with the approval of the governor. Voting members, who meet monthly, represent child care centers, home providers, parents/guardians, advocacy agencies, county departments of job and family serivces and other child welfare professionals. The ODJFS director, state superintendent of public instruction, director of health, director of commerce, director of mental health, director of mental retardation/developmental disabilities and state fire marshal designees serve as non-voting members on the council. The CCAC serves as an advisor to ODJFS/BCCD in planning program activities and makes recommendations that support and promote child care policy for children birth through age thirteen. There are several activities that are supported by this council: public awareness,

professional development, quality improvement, collaborative system building, local community leadership and provider recruitment. The expected results are an increased effectiveness in policy and program planning due to the recommendations of the council. ODJFS staffs and coordinates this council.

☐ Other

Describe

☐ None

1.5.4 Does the Lead Agency conduct or plan to conduct activities to encourage public-private partnerships that promote private sector involvement in meeting child care needs? (§98.16(d))

☑ Yes .
If yes, **describe** these activities or planned activities, including the tangible results expected from the public-private partnership:

Public/Private Initiatives:

Step Up To Quality (SUTQ)
Step Up To Quality, Ohio's voluntary quality rating system, is serving approximately 81,000 children in 1097 programs in 84 of Ohio's 88 counties.   There are several activities of SUTQ that are supported by public-private partnerships. These activities include: public awareness, business involvement, professional development, quality improvement, resource and referral services, literacy initiatives, facility enhancement, collaborative system building, local community leadership, and provider recruitment. The expected results of Step Up To Quality include: increase the number of programs providing high quality early care professional development.

First Steps Ohio's Infant Toddler Initiative
Delivered through the statewide system of child care resource and referral service agencies, providers serving infants and toddlers receive information about SUTQ, technical assistance to improve quality, access to libraries containing professional materials, assessments using the ITERS instrument and professional development. OCCRRA staffs provide specialized-training development and train-the-trainer services, technical assistance, ITERS training and reliability checks, orientation for staff new to the work as well as ongoing training, timely information about relevant resources and research to CCR&R staff. The statewide Infant Toddler Coordinator, who is housed at OCCRRA, works to build the capacity of CCR&Rs to provide high-quality, high-value services to providers. The expected results of First Steps include: increased number of higher quality programs, increased knowledge, skill and professionalism of teachers and ultimately improved outcomes for infants and toddlers.

Afterschool Initiative (ASI)
Delivered through the statewide system of child care resource and referral service agencies, providers serving school-age children that participate in SUTQ receive technical assistance to improve quality and

assessments using the SACERS assessment tool. All school-age providers have access to libraries containing materials and professional development. OCCRRA staff provides specialized-training development and train-the-trainer services, technical assistance, SACERS training and reliability checks, orientation for staff new to the work as well as ongoing training, timely information about relevant resources and research to CCR&R staff. OCCRRA afterschool staff builds the capacity of CCR&Rs to provide high-quality, high-value services to providers. A new afterschool core knowledge and competencies document will be used by OCCRRA to develop new trainings that will be delivered by the CCR&Rs and other organizations. The expected results of ASI include: increased number of programs, higher-quality programs, increased access for families, improved outcomes for children and youth, and increased knowledge, skill and professionalism of providers.

Public/Private Task-forces:

Ohio Professional Development Network (OPDN)
 The Ohio Professional Development Network meets quarterly and has two committees: Workforce Development and Quality Assurance. There are several activities that are supported by this task force: public awareness, professional development, collaborative system building and local community leadership. The expected result of the Ohio Professional Development Network is the development of a system to assist early care and education and afterschool providers in all aspects of their professional growth.

Ohio Afterschool Network (OAN)
OAN works to increase the quality and availability of school-age, afterschool and summer programs through public awareness, research, policy recommendations, advocacy, development of tools to support quality, capacity-building of providers, bi-monthly communications that provide timely information, and on-line and in-person opportunities to build professional networks. OAN works closely with ODJFS and ODE to strengthen connections and alignment between providers that are regulated and funded by the two state agencies. OAN developed program quality guidelines and an assessment tool applicable to the diverse programs funded by ODE, ODJFS, and others. While not funded by ODJFS/CCDF, OAN works collaboratively with ASI to advance the quality and availability of school-age services. The expected results of OAN include: state policies that maximize and leverage public investments, elimination of redundancies and contradictions in state rules and a systems approach to improving afterschool program quality that crosses multiple funding sources and regulations.

Other Public/Private Partnerships:

Ohio Child Care Resource and Referral Association (OCCRRA)
OCCRRA coordinates, in collaboration with the eight Resource and Referral agencies, most of the functions (training and technical assistance, as well as data collection) related to implementation of Ohio's statewide initiatives such as SUTQ, First Steps, Ohio's Infant Toddler Initiative, Afterschool Initiative, Healthy Child Care Ohio, Ohio Professional Development Network and T.E.A.C.H. There are several activities that are supported by OCCRRA: public awareness, business involvement, professional development, quality improvement, resource and referral services, literacy, inclusion of children with disabilities, health initiatives, facility start-up and enhancement, collaborative system building, local community leadership, and provider recruitment. The expected results of OCCRRA are a consistent implementation of statewide initiatives across the twelve service delivery areas.

Ohio Educational Television Stations (OETS):
Ohio Educational Television Stations provide professional development primarily to child care providers. They have a Ready to Learn Early series for children birth to three and a Ready to Learn series for children three to five. There are several activities that are supported by OETS: public awareness, professional development, quality improvement, literacy, and collaborative system building. The expected results of OETS are an increased standardization and alignment of professional development regardless of setting type.

☐ No

1.6. Child Care Emergency Preparedness and Response Plan

It is recommended, but not required, that each Lead Agency develop a plan to address preparedness, response, and recovery efforts specific to child care services and programs. Plans should cover the following areas: 1) planning for continuation of services to CCDF families; 2) coordination with other State/Territory agencies and key partners; 3) emergency preparedness regulatory requirements for child care providers; 4) provision of temporary child care services after a disaster; and 5) rebuilding child care after a disaster. For further guidance on developing Child Care Emergency Preparedness and Response Plans see the Information Memorandum (CCDF-ACF-IM-2011-XX) located on the Office of Child Care website at:
http://www.acf.hhs.gov/programs/ccb/law/state_topic_emergency.htm

1.6.1. Indicate which of the following best describes the current status of your efforts in this area. Check only ONE.

☐ **Planning.** Indicate whether steps are under way to develop a plan. If so, describe the time frames for completion and/or implementation, the steps anticipated and how the plan will be coordinated with other emergency planning efforts within the State/Territory.

☐ **Developed.**   A plan has been developed as of **[insert date]:**   and put into operation as of **[insert date]:** , if available. Provide a web address for this plan, if available:

☑ **Other.**
Describe:

BCCD has been collecting resources and has received interest from several partners to participate in the planning and development of a plan. At this time we are unable to move forward with this work due to competing priorities with an I.T. project that has created a lack of available staff.

1.6.2. Indicate which of the core elements identified in the Information Memorandum are or will be covered in the Lead Agency child care emergency preparedness and response plan. Check which elements, if any, the Lead Agency includes in the plan.

☑ Planning for continuation of services to CCDF families

☑ Coordination with other State/Territory agencies and key partners

☑ Emergency preparedness regulatory requirements for child care providers

☑ Provision of temporary child care services after a disaster

☐ Rebuilding child care facilities and infrastructure after a disaster

☐ None