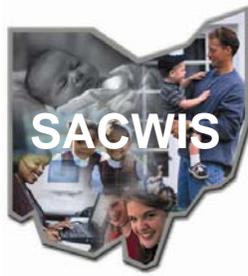




# SACWIS User Group Meeting September 2, 2009



Department of  
Job and Family Services



OHIO STATEWIDE AUTOMATED CHILD WELFARE INFORMATION SYSTEM

# Agenda



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# Agenda

- Welcome
- Project Update
- Person Merge
- AFCARS TA
- Financial Team Update
- Questions/Wrap-Up



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# Project Update Tresa Young



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# Project Update

- ODJFS is preparing to address GRF reductions and planning strategies to minimize staffing impacts – SACWIS impact unknown.
- Agency leadership is researching/seeking additional funding support for Top 4 SACWIS Priorities (in no specific order)
  - Statewide Implementation of Alternative Response
  - Financial Upgrades to support Rollout
  - Increased functionality to support local updating of errors/changes
  - Platform Upgrade



# Federal Update

- Draft Monitoring Visit Report received 8/13
- 25 “recommendations”
- Automated warrant claims data by child/provider/day (auditor interface)
- Flexibility to support county variation
- Ensuring system adjustments to increase County flexibility in system are federally “SACWIS” compliant
- Bi-directional automated interfaces IV-A, IV-D, Title XIX Medicaid



# ACF Monitoring Visit

- No use of ancillary systems for SACWIS required functions/data
- Additional Reports/Reduced data duplication (person, intakes, provider)
- Recording data in SACWIS as it occurs rather than on paper forms (intakes, contacts)
- Streamlined intake process with alerts, reports, flags to meet required time frames
- No deletion of information prior to screening or “pending intakes” or “intakes”
- Weighing “freezing data” federal requirement against county need to make adjustments/update information – original data should not be changed.



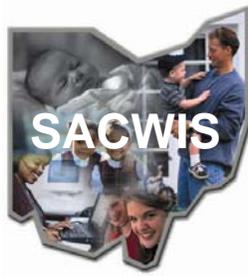
# ACF Monitoring Visit

- Use of Provider Matching functionality
- Pre-filled adoption forms in determining needs/matches
- Additional alerts for abuse/neglect allegations against foster parents
- Foster/adoptive home applications (optional)
- Service Referrals (optional)
- Additional workload management support (optional)
- Improved case plan – reduced clicks/repeat data/and summary approval screens
- Improved system performance



# APDU Planning

- Extension Granted – Due 10/1/09
- Budget Resolution/Planning
- Alternative Response
- Financial Roll-Out
- System Performance/Platform Upgrade
- Increase automation for adjustments/reduced data fix correction/less data duplication



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# Upcoming Enhancements

## Kevin Bullock



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# Build 1.84

- Primary Worker business rules implemented
  - Only one worker from an agency can have the role of “Primary Worker”. If multiple agencies have workers assigned to the case, each agency can have one “Primary Worker” at any given time.
  - If an agency tries to assign a “Primary Worker” when a “Primary Worker” for the agency is already assigned, upon save, the application will display the following message.
    - “A Primary Worker for your agency is already assigned to this case, do you want to change the Primary Worker” Yes or No. If “yes” is chosen, the primary worker role will be removed from the prior primary worker and assigned to the new primary worker



# Build 1.84

- If the Primary Worker is changed, a notification is sent to the previous Primary Worker for that agency and the previous Primary Workers Supervisor stating “(Employee Name) is no longer the Primary Worker for (Case Name and Case ID).”
- The application does not require a primary worker, however, if an agency has a primary worker assigned and that primary workers assignment is end dated, upon save, the application will display the following message.
  - “No Primary Worker for your agency is assigned to this case”.
  - After one business day, if no “Primary Worker” has been assigned, the Supervisor(s) of the assigned workers will receive a notification stating “No Primary Worker for your agency is assigned to case (Case Name and Case Id)”



# Build 1.84

- Update Unknown Person name in Intake Part 1 in 1.84
- Case Closure Records will now display in descending order, Build 1.84



# Current Development Priorities/Status Updates

- Allow More than one contact type to be chosen in Activity Log
- Ability to select multiple Intake IDs on the Activity Log
- Backdate Case Opening Date
- Ability to enter same address for all members of family
  - Release Build TBD



# Current Development Priorities/Status Updates

- Alternative Response (AR) – Scheduled for release 1.87 scheduled for October 15<sup>th</sup>
  - Allow 10 Pilot Agencies to track AR cases through SACWIS
  - Include AR cases in federal reporting
  - Full review of SACWIS changes will be presented at September meeting of the AR Leadership Council



# Current Development Priorities/Status Updates

- National Youth in Transition Database (NYTD)- most functionality on track to be completed by October 1<sup>st</sup> - Identification of Case Category will be in a later release
  - Additional Case Services added for required topics for IL children and emancipated youth
  - Drop down box for each topic with values of Yes, No and N/A
  - Start/End date for each topic



# Current Development Priorities/Status Updates

- If one of the following is selected the following topics will be displayed
  - **Child is 16 years of age and has been referred for Independent Living**
  - **Child is not 16 years of age and has been referred for Independent Living**
    - Academic Support
    - Post Secondary Educational Support
    - Career Preparation
    - Employment Programs or Vocational Training
    - Budget and Financial Management
    - Housing, Education and Home Management Training
    - Health Education and Risk Prevention
    - Family Support and healthy Marriage Education
    - Mentoring
    - Supervised Independent Living
    - Room and Board Financial Assistance



# Current Development Priorities/Status Updates

- If Youth is **18 – 20 years of age and has been emancipated** is selected then the following topics will display:
  - Academic Support
  - Post Secondary Educational Support
  - Career Preparation
  - Employment Programs or Vocational Training
  - Budget and Financial Management
  - Housing, Education and Home Management Training
  - Health Education and Risk Prevention
  - Mentoring
  - Supervised Independent Living
  - Room and Board Financial Assistance
  - Other Financial Assistance



# Current Development Priorities/Status Updates

- Additional tab labeled Contact Information will be added to Independent Living and will include:
  - Name
  - Address
  - Phone #
- Additional question added to IL page
  - Youth was informed that they will be a part of a cohort study. Yes, No, N/A



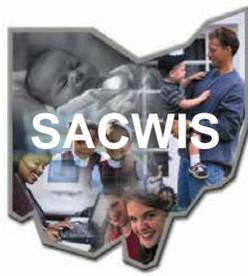
# Current Development Priorities/Status Updates

- No CAPMIS tools will be required to be completed on Emancipated Youth Case
- No Mandatory Ticklers will display for cases with the category of Emancipated Youth
- Future discussions will occur on the development of an Independent Living Plan and Emancipated Youth Plan



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# Person Merge Kristine Monroe



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# Person Merge

- New potential duplicate person spreadsheets were sent out last week.
- Since the initial spreadsheets were distributed, there has been a 5.5% reduction in duplicate person records in SACWIS.
- There has been a 15.5% reduction in duplicate person records associated to open cases!



# Person Merge

## Recent Person Merge Enhancements

- Persons who are members of the same provider records are now able to be merged.
- The application no longer views spans (legal status, eligibility...) that start and end on the same day as overlapping.
- Records can be merged if there are pending payments on the retain record.



# Person Merge

## Possible Future Person Merge Enhancements

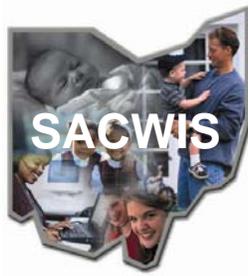
- The SACWIS Project is evaluating how to enhance person merge functionality so that overlapping addresses are resolved by the application without the user having to edit the address types or dates.
- The Project is also considering an enhancement to allow the User to edit the addresses for inactive provider participants (members in the history) so that the home study will not need updated in order to resolve the overlapping addresses error message.



# Person Merge

## Resolving Person Merge Error Messages

- Specific Questions
- Open Discussion



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# AFCARS

## Tim Doyle-Wenger



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# AFCARS Discussion Points

- Foster Care Reporting Population
  - Legacy to SACWIS
- Child Race & Ethnicity (Missing Records)
- Case Plan Goals
- Has this Child Ever Been Adopted (Unable to Determine)
- Timeliness Errors (Element's 21 & 22 and 56 & 57)
- Exception Reports
- Dropped Records (Child was in the 2008b rpt but is Not in the 2009a rpt.)



# Foster Care Reporting Population

**Legacy System:** From the Legacy System a child entered the Reporting population based upon the following: AFCARS Foster Care Population Defined:

The span starts with the first of;

- Event 060: Initial Placement or
- Event 062: Subsequent Placement or
- Event 080: Initial Custody or
- Event 082: Change in Custody.

## **SACWIS:**

**Step #1:** First Identify all child record's where the Legal Status Type = AGENCY and the Initial Agency Custody Episode flag is set to 'YES', Exclude all "AGENCY" Legal Status records of COPS, COPS Ext & TCOPS and all "AGENCY" Legal Status records which have the 'Created in Error' flag set to 'YES'.

[step #2 establishes when the child is included in the AFCARS reporting population]

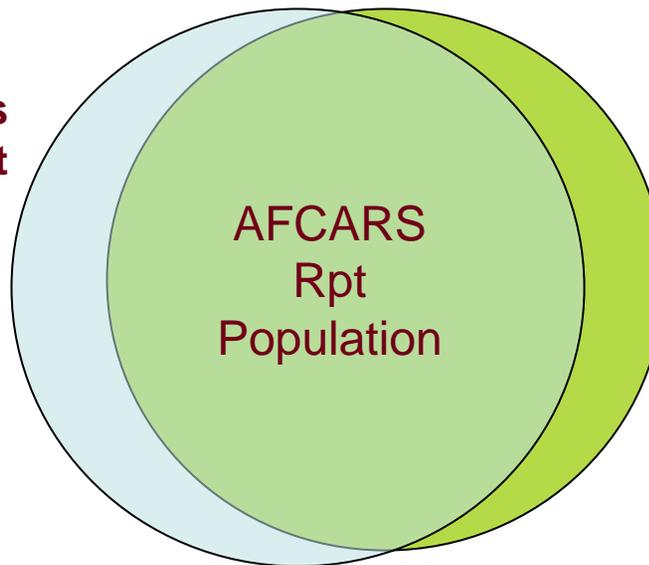
- **Step # 2:** From the population of records established in Step #1, Identify the Initial Placement Record for the child. The Date of Initial Placement, shall be the date reported in Element 21 'Date of latest removal from home'.



# AFCARS

All Children w/Legal Status of 'Agency'.  
All Children w/Initial Placement record.

**Agency Legal Status  
w/No Initial Placement**



**Exclusions to Initial  
Placement Rule**



# Race Reporting

## Data in the 2009a AFCARS Report

- Race Element 08:
  - 764 record(s) of 17595 valid record(s) have the child's race as multi-racial.
  - 179 record(s) of 17595 valid record(s) have the child's race as unable to determine
    - 125 record(s) the child was between 5 – 18 years of age
    - 54 record(s) the child was between new-born & 4 years of age

The ACF defines Unable to Determine as: ... because the child is very young or is severely disabled and no person is available to identify the child's race. "Unable to determine" is also used if the parent, relative or guardian is unwilling to identify the child's race.

- 802 Record(s) had no race reported for the child (these are considered invalid records).
  - Exception Report Error = A07 ERROR: Missing Data - Race value(s) has/have not been selected for this child. We are requesting your review of the Missing Race errors, as identified in the AFCARS Exception report and assure the child's race information is recorded in SACWIS.



# Ethnicity Reporting

## Ethnicity - Element 09:

1278 records have child's Hispanic or Latino origin coded as "unable to determine."

- **Unable to Determine** — To be used if the child is very young or is severely disabled and no person is available to determine whether or not the child is Hispanic or Latino. Also used if the parent, relative, or guardian is unwilling to identify the child's ethnicity.

1264 records no ethnicity for the child was entered. (6.87% Missing Record error factor)

- AFCARS Exception Report Error = A09 ERROR: Missing Data - A Hispanic Ethnicity value has not been selected for this child



# Has Child Ever Been Adopted

## FC Element #16 Has This Child Ever Been Adopted?

- Unable to Determine—The child has been abandoned or the child's parent(s) are otherwise not available to provide the information.
  - As of 07/29/09 the State wide Missing Record error factor was 9.70% (1586 records)
    - AFCARS Exception Report Error = A11 ERROR: Missing data to indicate whether the child was Previously Adopted

## FC Element #17 How Old was Child when Previous Adoption Legalized?

Both of these question are found on the PERSON / Add'l Screen in the Miscellaneous Information box.



# Case Plan Goal

FYI, analysis of the Data in the 2009b report confirmed the reporting of 'Maintain in Own Home; Prevent Removal' as a Case Plan Goal for some children in the AFCARS population. This is due to the incorrect goal being chosen or due to the Case Plan not being amended in a timely manner after the agency receives custody. A child who is in the AFCARS FC Rpt, should not have this Case Plan Goal. Please assure your Case Plans are updated so as to accurately reflect the child Case Plan Goal when in Placement.

Note: A Case Plan Goal is required for all child records who remain in the AFCARS FC population for 30 days or more.



# Timeliness Errors

## (Element's 21 & 22 and 56 & 57)

- A computer-generated date which accurately indicates the month, day and year the response to Date of Latest Removal From Home (FC #21) was entered into the information system or Date of Discharge from Foster Care (FC #57)
- Ohio incurs a Timeliness error for all records where the date in E22 is greater than 60 days after the Date of Latest Removal From Home (FC element #21). (Child's Initial Placement Date for the current episode of care) or
- Where the system date in E #57 is greater than 60 days after the date entered in E #56 Date of Discharge from Foster Care. (Placement Discharge Date)



# Dropped Records

(Child was in the 2008b rpt but is Not in the 2009a rpt.)

- Agency Legal Status was Terminated on 3/15/09, however No Placement Discharge record was recorded in SACWIS.
- The First step in establishing the AFCARS FC Rpt population for 2009a is to Identify ALL children with a valid Agency Legal Status record. Since the Child's Agency legal status was Terminated on 3/15, we do not identify the Child as a valid report participant. However since the child did not have a Placement Discharge record the ACF see the Child as a Dropped Record.

2008b Rpt as of 3/31

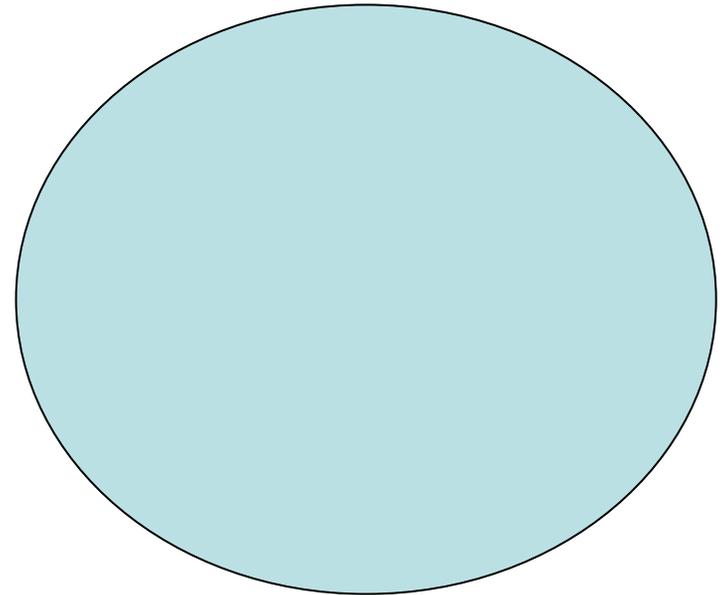
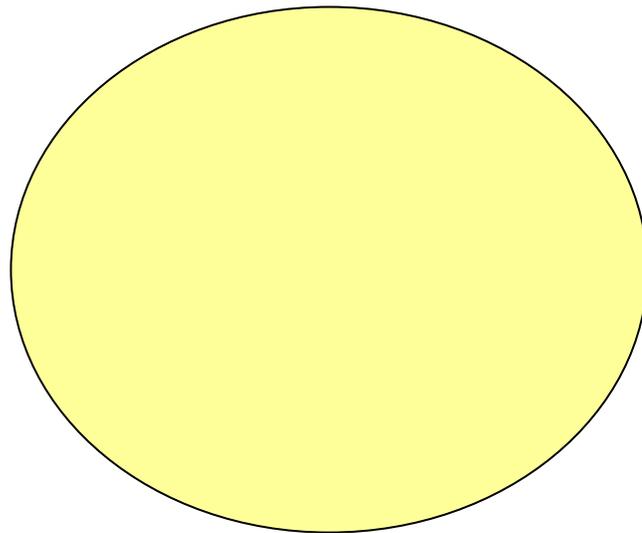
2009a Rpt as of 4/01

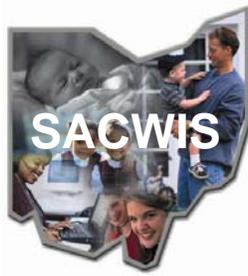


# Dropped Records

(Child was in the 2008b rpt but is Not in the 2009a rpt.)

**The 1<sup>st</sup> circle = all Children with a Valid Agency Legal Status for AFCARS Reporting. The 2<sup>nd</sup> circle = All Children with a valid Placement Episode or Initial Placement**





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# Financial Team Update Tresa Young



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# Recent Financial Development

- More flexibility in associating IV-e eligibility records to cases - increased county ability to make adjustments for multiple custody episodes
- Greater flexibility in payment rosters to accommodate FACSIS issued payment adjustments/disbursements – more timely/accurate reimbursement
- Flexibility to make reimbursability record adjustments without automatically negatively impacting county cash flow
- Automated efficiency for county to adjust reimbursability record for a specified time period without negatively impacting subsequent reimbursements



# Finance Development

- New limited Security Profile to adjust Reimbursability Records at the local level – reduces risk of deleting records but greater capacity to manage accurate cash flow
- Greater County capacity to modify reimbursability records for most common requested help desk data fix issues
- Correction to ensure SACWIS-MMIS interface allows seamless HMO enrollment
- Modifications to ensure FMAP increases were effective retroactive to 10/01/08



# Financial Development

- Requirements documentation for suggested AA/Medicaid Changes is complete
- Development work is starting, schedule/resources currently under review.
- System modification needed to meet new law/rule change for ADC relatedness – user also needs ability to extend subsidy past a child’s 18th birthday. Report has current defects logged against it and it is not meeting county needs to ensure adoptive families received reimbursement benefits – FACSIS/SACWIS comparison report needed



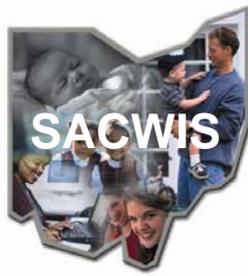
# Financial Development Requirements Documentation

- System Requirements Documentation for FCM changes to be complete in September, 2009
- Development Schedule/Resources are currently under review
- Functionality to address “dropped 1<sup>st</sup> placement day” payments – manual payment scheduled for 1.84 – additional functionality is under discussion/review
- Automated financial adjustments to accommodate placement change(s) / adjustments for non sequential service auth adjustments
- Adjusted reimbursement/accuracy due to child benefits



# Tentative Financial Roll-Out

- Phase 1: Complete “critical” enhancements in AA/Medicaid & Partner w/ Counties to ensure data are entered, up to date, accurate. Cross train state staff.
- Phase 2: AA/Medicaid Roll Out – targeting spring 2010 (dependent upon resource / budget availability – All counties “live” in AA/Medicaid prior to Phase 3
- Phase 3: Researching Automated auditor interface options ~ FCM Roll Out – reviewing schedule / Resources
- Phase 4: Automated claims reconciliation data (potential auditor interface)



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## Questions/Wrap- UP



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# Wrap - Up

- Additional Questions/Open Discussion
- Next Meeting
  - Friday, November 6th
- Suggested Agenda Topics??