



**Phase Three
Workgroup Two Final Recommendations
Risk Assessment Tool
July 2009**

Attachment 8

Goal: to develop a formula for compliance inspection frequency and generally weight the rules under certain determined categories or program elements.

Recommendations

1. The Model is to be “Asset Based”, that is, the emphasis is on positive elements of a licensed program.

All programs begin the assessment with the highest number possible based on compliance history and other elements. This places all programs in equitable positions at the beginning of the assessment.

2. Determine the most appropriate title for rule compliance categories that is descriptive of the compliance area sufficiently flexible to fit future revised rule language and structure
3. Utilize the current SRNC structure as the foundational philosophy. These rules are understood to be the most critical compliance areas and should be among the most heavily weighted. Moderate and minimal risk rules are to be weighted accordingly. When a rule is found to be out of compliance, points are removed.
4. Utilize existing software and data collection programs when at all possible. It is important that this model and SUTQ systems are parallel and work together. There is a report available, Serious Risk Search, which identifies the number of (negative) points for SRNC’s a program has accumulated within 24 months related to the Star Rating Progressive Sanctions. These are available for all licensed programs.
5. Future rule writing needs to take into account the risk assessment model. Rules need be written in a consistent structural fashion with SRNC or most heavily weighted rules noted first, Administrative Responsibilities in the mid section of the rule, and paperwork and Systems Requirements at end of rule. Parts of rules that are serious and related standard findings would be more efficiently organized in the SansWrite software. This work would involved all BCCD staff, policy and licensing.

6. Utilize the level of compliance to determine placement in Risk Assessment Matrix that will impact the number and type of inspections, fines and sanctions, including possible revocation activity.
7. Software enhancement developed to automatically calculate scores or “report card” for data collection and statistics.