

## Attachment 4 Progressive Sanctions For Serious Risk Violations

Level 4 Actual Harm or Death	S5, S7, S11 or S9	S6 or S11	S11 or S12	S12
Level 3 Imminent Risk	S5 and S9	S4, S5, S9	S8 or S11 or S10	S8 or S11 or S12
Level 2 Elevated Risk	S1, S2, S3	S5	S6 or S8 or S7	S6 or S4 or S7
Level 1 Serious Risk	S1	S1 and S2	S3 or S4	S4 or S5
	A Isolated	B Scattered	C Episodic	D Systemic

### Sanctions

S1	Completion of Training	S7	Probationary License
S2	Additional Monitoring	S8	Program Element Suspension *
S3	Minimal Fine	S9	Extension of a Provisional License (if applicable)
S4	Required Enrollment Limitation Restriction	S10	Permanent Program Element
S5	Middle Range Fine	S11	Center Operation Suspension
S6	High Range Fine	S12	Revocation

\* If noncompliance impacts a particular program element, the sanction may default to S8.

### Severity

Level 4 = Noncompliance which directly resulted in actual harm or death of a child.

Level 3 = Noncompliance which poses imminent risk of actual harm, injury or death of a child in care due to actions, or lack thereof, of the center; or the noncompliance caused an occurrence of injury to a child.

Level 2 = Noncompliance which elevates the serious risk of actual harm, injury or death of a child in care due to actions, or lack thereof, of the center.

Level 1 = Noncompliance which poses a serious risk of actual harm, injury to a child in care due to action, or lack thereof, of the center.

### Scope

D (Systemic):	3 or more serious risk noncompliances of the same Rule or various rules for 3 or more consecutive inspections.
C (Episodic):	3 or more serious risk noncompliances of the same Rule or various rules at the same inspection.
B (Scattered):	2 or more serious risk noncompliances with same rule or various rules during the same licensing <u>period</u> .
A (Isolated):	1 serious risk noncompliance.

(02/14/2008)